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<b>(54) Title:</b> MAMMALIAN EXPRESSION VECTOR  <b>(57) Abstract</b>  DNA plasmid expression vector, pCMX, enables cDNA expression cloning in mammalian cell culture. This novel expres- sion vector exhibits a marked increase in gene expression when compared to its parental plasmid construction.		

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## MAMMALIAN EXPRESSION VECTOR

### 1. INTRODUCTION

The present invention relates to the construction and utilization of a DNA plasmid vector, in particular, pCMX. This vector promotes the expression of cloned genes when transfected into mammalian cell lines. The functional portions of pCMX include the immediate early promoter of human cytomegalovirus (HCMV), a "polylinker" sequence facilitating the insertional cloning of DNA sequences, an RNA splice and polyadenylation signal, origins of replication from SV40 (for replication in COS cells) and pBR322 (for replication in E. coli), and the gene encoding  $\beta$ -lactamase, a selectable marker conferring resistance to ampicillin in bacterial cells. The invention can be used to transiently or stably express eukaryotic genes within mammalian cells, that can provide an environment conducive to appropriate protein folding or other eukaryotic protein modifications such as glycosylation and oligomerization.

### 2. BACKGROUND OF THE INVENTION

The advent of recombinant DNA technology in the 1970's led to the isolation of a myriad of DNA sequences that encode useful proteins. The in vitro expression of cloned eukaryotic genes in mammalian cells is well documented. (for review, see (1) Maniatis, et al., 1990, In: Molecular Cloning: A Laboratory Manual; Vol. II, Chapter 16; (2) Bebbington and Hentschel, 1987, In: DNA Cloning Vol III: A Practical Approach, Ed., Glover, O.M., pp. 163-188; (3) Sambrook and Gething, 1988, Focus 10(3):41-48). The utilization of eukaryotic expression systems has led to an increased understanding of eukaryotic promoter strength, intron function, RNA splicing and

polyadenylation functions as well as transport phenomena of newly synthesized polypeptides. However, a more practical application of in vitro eukaryotic expression systems is the cloning of novel cDNAs as well as the overproduction and isolation of novel gene products. Expression of eukaryotic proteins in eukaryotic hosts more readily allows production of functional proteins correctly folded and modified (e.g., via glycosylation and acetylation, for example).

Two basic types of mammalian expression systems have been developed to date. The first involves viral expression vectors modified to express a gene of interest (for a review, see Muzyczka, 1989, Current Topics in Microbiology and Immunology). This modification usually encompasses the replacement of a portion of the viral genome with the gene of interest. The functions lost in cis from the viral genome are complemented by cloning this deleted genomic region into a separate "helper plasmid". Therefore, co-transfection of both recombinant vectors results in propagation of the virus as well as overproduction of the protein of interest. The second type of mammalian gene expression system involves the construction of DNA plasmid vectors possessing the capacity to express cloned inserts in mammalian cells. The expression of the cloned gene may occur in a transient, extrachromosomal manner or through the stable transformation of the respective mammalian host cell line. The typical mammalian expression vector will contain (1) regulatory elements, usually in the form of viral promoter or enhancer sequences and characterized by a broad host and tissue range; (2) a "polylinker" sequence, facilitating the insertion of a DNA fragment within the plasmid vector; and (3) the sequences responsible for intron splicing and polyadenylation of

mRNA transcripts. This contiguous region of the promoter-polylinker-polyadenylation site is commonly referred to as the transcription unit. The vector will also contain (4) a selectable marker gene(s) (e.g., the  
5  $\beta$ -lactamase gene), often conferring resistance to an antibiotic (such as ampicillin), allowing selection of initial positive transformants in E. coli; and (5) sequences facilitating the replication of the vector in both bacterial and mammalian hosts.

10 An example of such an expression vector is CDM8 (Seed, 1987, Nature 329: 840-842; Seed and Aruffo, 1987, Proc. Natl. Acad. Sci. USA 84: 3365-3369; Aruffo and Seed, Proc. Natl. Acad. Sci. USA 84: 8573-8577), the parental plasmid to the pCMX plasmid of the present  
15 invention. The transcription unit of CDM8 is composed of a chimeric promoter (the human cytomegalovirus AD169 constitutive promoter fused to the T7 RNA polymerase promoter), a polylinker region and the SV40 small tumor (t) antigen splice and early region polyadenylation  
20 signals derived from pSV2. The human cytomegalovirus (HCMV) promoter is expressed in a variety of mammalian cell types, while the T7 bacteriophage DNA-dependent RNA polymerase promoter can drive in vitro cell-free transcription/translation of cloned inserts. This  
25 particular promoter fusion allows initial experiments to be conducted within the confines of the host mammalian cell type, while further analysis and utilization of the cloned insert may potentially be carried out in an in vitro "cell-free"  
30 transcription/translation system. The constitutively expressed HCMV promoter has also been utilized in other mammalian expression vectors besides CDM8 (for example, see Andersson, et al., 1989, J. Biol. Chem. 264(14):8222-8229). Origins of replication in CDM8  
35 include (1)  $\pi$ VX (allowing e.g., replication in E. coli)

(2) SV40 origin (allowing e.g., replication in a variety of COS cell types) (3) polyoma origin (allowing e.g., replication in polyoma virus transformed mouse fibroblasts) and (4) the bacteriophage M13 origin  
5 (allowing e.g., generation of single-stranded template for DNA sequence analysis and/or oligonucleotide site-directed mutagenesis). Finally, CDM8 carries the supF gene for selection in E. coli. In this antibiotic selection system, a CDM8-based plasmid construction is  
10 transformed into a specialized E. coli strain containing an episome carrying genes encoding resistance to the antibiotics, ampicillin and tetracycline. However, both genes contain chain termination ("nonsense" codon) point mutations  
15 inactivating the resistance phenotype. The supF gene product, a nonsense suppressor tRNA, restores the resistant phenotype for each antibiotic. Therefore, selection is based on growth of the specialized episomal-carrying E. coli strain on media containing  
20 ampicillin and tetracycline. Colonies exhibiting this phenotype are supposedly transformed with the CDM8-based plasmid construction.

The CDM8 vector is compatible with COS cell lines as well as cell lines transformed with the  
25 polyoma virus. COS cell lines are African green monkey CV1 cells transformed with an origin-defective SV40 mutant virus. The COS cells produce the large T antigen, which is required in trans to promote replication of SV40 or plasmid constructions such as  
30 CDM8 which contain the respective cis-acting sequences initiating viral replication. Therefore, COS cells transfected with a CDM8-based construction will support replication of the plasmid, resulting in increased plasmid copy number and a transient overexpression of  
35 the gene of interest.

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The major use of CDM8 is cDNA expression cloning and overproduction of specific proteins in a mammalian in vitro expression system. Expression cloning takes on various forms depending on the mode of detection utilized to identify the cDNA of interest (see discussion, infra). However, the initial step consists of isolating mRNA and synthesizing double-stranded deoxyribonucleic acid copies of the mRNA population (cDNAs). These cDNAs must be efficiently ligated to a plasmid or bacteriophage DNA cloning vector and transferred to the appropriate host prior to library screening and analysis. CDM8 contains two BstXI restriction sites, making it amenable to the "adaptor" linker procedure of ligating cDNAs to the vector, i.e., the use of DNA fragments blunt ended at one end (and therefore compatible for ligation with the blunt ended cDNA) but containing a non-palindromic overhang on the other end (in this instance, compatible for ligation with BstXI digested vector DNA, but not with other cDNAs).

A cDNA mammalian expression library may be utilized in several ways to pursue the identification and isolation of novel cDNAs (see Chapter 16 of Maniatis, et al., supra for a review). Briefly, cDNA libraries are transfected into the appropriate cell lines. A secreted gene product may be identified by a variety of assay techniques. Seed (1987, Nature 329:840-842) utilized the CDM8-based cDNA expression system (Seed and Aruffo, 1987, Proc. Natl. Acad. Sci. USA 84:3365-3369) to select cDNAs encoding novel surface membrane proteins. These cDNA expression proteins integrated on the cell surface and were selected by the ability of that cell type to bind to specific antibody coated dishes. Positive cell types

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were collected, the plasmids rescued and subsequently transformed into E. coli for further analysis.

The main drawback of CDM8 involves several problems present when utilizing the supF based  
5 antibiotic system for selecting transformed E. coli cells. First, the host E. coli strain displays a relatively high frequency of antibiotic resistance, so that there is often an unacceptably high background of bacterial colonies lacking plasmid sequences. Second,  
10 low yields of bacterial plasmid DNA derived from these host-specific strains are problematic if reversion occurs during the growth of a bacterial culture. Third, plasmid preparations frequently become contaminated with episomal DNA that contains the genes  
15 conferring resistance to ampicillin and tetracycline. Fourth, the requirement of specific genes conferring antibiotic resistance encompassed within an episome drastically reduces the E. coli host range.

A second common bacterial vector also  
20 utilized as a parental plasmid to the pCMX plasmid of the present invention is pGEM4Z (Promega Bulletin 036, 1988), which contains a pUC derived  $\beta$ -lactamase gene and pBR322 origin of replication. pBR or pUC based plasmid constructions (Yanisch-Perron, et al., 1985,  
25 Gene 33:103-109) are widely used bacterial vectors.

### 3. SUMMARY OF THE INVENTION

The invention relates to the construction of a DNA plasmid mammalian expression vector, in  
30 particular, pCMX. The DNA plasmid expression vector of the present invention retains the principal advantages of plasmid CDM8 without its inherent disadvantages. The vector of the present invention is far more efficient than CDM8 with regard to the quality and  
35 quantity of transiently and stably expressed proteins,



and its use for cDNA expression cloning as well as overexpression of genes of interest.

The functional components of the plasmid of the invention are (1) the immediate early promoter of HCMV, (2) an SV40 RNA splice/polyadenylation sequence, (3) an SV40 origin of replication, (5) a pBR322 origin of replication and (4) a selectable marker conferring resistance to an antibiotic. In particular, the  $\beta$ -lactamase gene conferring resistance to the antibiotic ampicillin can be used.

The invention can be utilized for, but is not limited to, the transient expression of a cloned DNA sequence in transfected COS cells. While the examples presented utilize a COS cell line, the invention is not limited to one particular mammalian cell line. Any mammalian cell type expressing the large T antigen of SV40 (see discussion of COS cell types, supra) may potentially support replication and, hence, expression of pCMX-based constructions. Additionally, pCMX derived constructions may be used to produce mammalian cell lines that stably express a recombinant protein. For example, pCMX-derived constructions can be co-transfected with another plasmid(s) containing an appropriate dominant selectable marker(s) to isolate cell lines overproducing the cloned gene product.

The invention can be utilized to isolate novel cDNAs via expression in transfected mammalian cells, i.e., by cDNA expression cloning. This technique is exemplified in the isolation and characterization of a cDNA encoding the ciliary neurotrophic factor receptor (CNTFR) using pCMX as a mammalian expression vector. cDNA expression cloning is a technique whereby a population of purified mRNA is transcribed into double stranded complementary DNA sequences (cDNA); ligated into a DNA plasmid expression

vector (under the control of a constitutive or inducible promoter); transferred into the appropriate prokaryotic or eukaryotic host to form a representative "library" of cDNA clones; screened on the basis of a characteristic of the protein product encoded by the  
5 desired cDNA expressed by individual cells within the population; thus resulting in positive clones being purified, characterized and amplified (see Section 2, supra, for additional discussion). One embodiment of  
10 this technique involves expression of cDNA clones in a mammalian cell population and selection of novel cDNAs on the ability of the cell type expressing that particular cDNA to interact with an antibody or ligand, capable of specific binding to the encoded product of  
15 that cDNA, which has been previously bound to a solid support such as a petri dish. Positive cDNAs (those expressed in cell types binding to the solid support) are recovered, transformed into a convenient host (e.g., E. coli) and characterized by known recombinant  
20 DNA techniques. This procedure, referred to as "panning" (Wysocki and Sata, 1979, Proc. Natl. Acad. Sci. USA 75:2844-2848; Seed and Aruffo, 1987, Proc. Natl. Acad. Sci. USA 84:3365-3369) may become a more powerful technique due to increased expression of the  
25 cloned cDNA insert as provided by the improved vector of the present invention, pCMX. In other words, a cell line possessing a low affinity to the antibody or ligand (through expression of the cDNA) might yield a stronger signal due to increased cDNA expression, thus  
30 culminating in the cloning of novel cDNAs unobtainable via the use of previously available cDNA expression plasmids.

In another embodiment, the invention is utilized to overproduce a proteinaceous product of a  
35 cloned DNA fragment inserted in a polylinker sequence

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located between the HCMV promoter and the 3' splice/polyadenylation signal. In a specific embodiment, the invention describes the increased expression of an antigenically tagged derivative of nerve growth factor, designated NGF-myc, in pCMX in relation to NGF-myc produced in a parental plasmid construction.

### 3.1 DEFINITIONS

The terms listed below, as used herein, will have the meanings indicated.

NGF-myc - nerve growth factor-myc fusion construction

PCR - polymerase chain reaction

tRNA - transfer ribonucleic acid

DMEM - Dulbecco's modified Eagles medium

CNTFR - ciliary neurotrophic factor receptor

MTX - methotrexate

DRG - dorsal root ganglion

ITS - insulin-transferrin-selinite

### 4. DESCRIPTION OF THE FIGURES

Figure 1. Nucleotide sequence of pCMX

Figure 2. Construction of pCMX from parental vectors CDM8 and pGEM4Z (see infra for details)

Figure 3. Construction of CMX:NGF-myc from CDM8:NGF-myc and pCMX (see infra for details)

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Figure 4.           Autoradiograph of <sup>35</sup>S-Methionine labeled proteins from COS cells transfected with:  
Lane 1: No DNA (Mock Transfection)  
Lane 2: CDM8:NGF-myc  
Lane 3: CDM8:NGF-myc  
Lane 4: pCMX:NGF-myc  
Lane 5: pCMX:NGF-myc  
(See infra for details)

#### 5. DETAILED DESCRIPTION OF THE INVENTION

The invention relates to the construction of a novel DNA plasmid expression vector, in particular, pCMX. The functional components of the plasmid of the invention are (1) sequences including the immediate early promoter of HCMV, (2) an SV40 RNA splice/polyadenylation sequence, (3) an SV40 origin of replication, (5) a pBR322 origin of replication and (4) a selectable marker conferring resistance to an antibiotic. In particular, the  $\beta$ -lactamase gene conferring resistance to the antibiotic ampicillin can be used. In a specific embodiment, the immediate early promoter of HCMV (a promoter active in mammalian cells) is fused to the T7 phage RNA polymerase promoter; this embodiment thus allows, e.g., for in vitro cell free expression under control of the T7 promoter.

The invention can be utilized to isolate novel cDNAs via expression in transfected mammalian cells, i.e., by cDNA expression cloning. This technique is exemplified in the isolation and characterization of a cDNA encoding the ciliary neurotrophic factor receptor (CNTFR) using pCMX as a mammalian expression vector. cDNA expression cloning is a technique whereby a population of purified mRNA is transcribed into double stranded complementary DNA sequences (cDNA); ligated into a DNA plasmid expression

vector (under the control of a constitutive or inducible promoter); transferred into the appropriate prokaryotic or eukaryotic host to form a representative "library" of cDNA clones; screened and selected on the basis of immunological, enzymatic, phenotypic, functional, or other characteristics of the protein product encoded by the desired cDNA; resulting in positive clones being purified, characterized and amplified (see Section 2, supra, for additional discussion). One embodiment of this technique involves expression of cDNA clones in a mammalian cell population and selection of novel cDNAs on the ability of the cell type expressing that particular cDNA to interact with an antibody or ligand, capable of specific binding to the encoded product of that cDNA, which has been previously bound to a solid support such as a petri dish. Positive cDNAs (those expressed in cell types binding to the solid support) are recovered, transformed into a more amenable host (e.g., E. coli) and characterized by known recombinant DNA techniques. This procedure, referred to as "panning" (Wysocki and Sata, 1979, Proc. Natl. Acad. Sci. USA 75:2844-2848; Seed and Aruffo, 1987, Proc. Natl. Acad. Sci. USA 84:3365-3369) may become a more powerful technique due to increased expression of the cloned cDNA insert as provided by the improved vector, pCMX. In other words, a cell line possessing a low affinity to the antibody or ligand (through expression of the cDNA) might yield a stronger signal due to increased cDNA expression, thus culminating in the cloning of novel cDNAs unobtainable via the use of previously available cDNA expression plasmids.

Specific embodiments of the invention include, but are not limited to, the use of pCMX in expression cloning in which the cDNA is selected based

not on antigenic properties of the expressed protein, but on other characteristics such as biological activity. For example, if the expressed product is secreted, the selection can be based on the biological activity of the pooled cell supernatants. Altered cell phenotype in transformed cell lines, (see discussion, supra), etc. can also be the basis for selection.

In another embodiment, the invention can be utilized to overproduce a proteinaceous product of a cloned DNA fragment inserted in a polylinker sequence located between the HCMV promoter and the 3' splice/polyadenylation signal. In a specific embodiment, the invention describes the increased expression of NGF-myc in pCMX in relation to NGF-myc produced in a parental plasmid construction.

The invention can be utilized for, but is not limited to, the transient expression of a cloned DNA sequence in transfected COS cells. While the examples presented utilize a COS cell line, the invention is not limited to one particular mammalian cell line. Any mammalian cell type expressing the large T antigen of SV40 (see discussion of COS cell types, supra) may potentially support replication and, hence, expression of pCMX-based constructions.

Additionally, stable mammalian cell lines may be chosen in which pCMX derived constructions are co-transfected with another plasmid(s) containing an appropriate dominant selectable marker(s) utilized to isolate cell lines overproducing the cloned gene product. By way of example but not limitation, CHO cells deficient in both alleles of the dihydrofolate reductase (dhfr) gene (Urlaub and Chasin, 1980, Proc. Natl. Acad. Sci. USA 77:4216-4220) may be co-transfected with a pCMX-based construction and a plasmid carrying the dhfr gene under control of a weak

promoter. The resulting co-transformants will result in individual colonies resistant to the selective pressure of nucleoside-free media. Such colonies are then treated with methotrexate (MTX) to induce gene  
5 amplification (Alt, et al., 1978, J. Biol. Chem. 253:1357-1370). MTX-resistant individual colonies may then be isolated and screened for an amplified CHO transformant overexpressing the cloned insert of interest. In another embodiment, 3T3 cells may be  
10 transfected for stable expression.

In the present invention, the plasmid pCMX supports expression of a cloned DNA sequence. The invention is illustrated by way of working examples in which the expression of NGF-myc in both pCMX and CDM8  
15 demonstrates the increased utility of pCMX to express eukaryotic genes in mammalian cells. The invention is not limited to the expression of NGF-myc, but to any genomic, cDNA or other DNA sequence inserted 3' to the aforementioned promoter element in such a fashion as to  
20 result in the synthesis of a stable RNA transcript. In a further embodiment of the invention, the presence of a functional T7 phage RNA polymerase promoter permits use of the pCMX vector outside the confines of a host mammalian cell. This promoter drives in vitro cell-  
25 free transcription/translation of DNA inserts cloned downstream from the HCMV/T7 promoter fusion.

The transcription unit of pCMX is unchanged from CDM8. The HCMV promoter constitutively expresses downstream DNA sequences. A polylinker region  
30 containing multiple cloning sites facilitates insertion cloning of the DNA sequence of interest proximal to the HCMV promoter. Further downstream is an SV40 splice/polyadenylation signal. Additionally, both pCMX and CDM8 contain an SV40 origin of replication and  
35 pBR322 origin of replication. The former origin

promotes replication in mammalian cells (e.g., COS cells) expressing the SV40 T antigen, while the latter origin promotes replication in E. coli cells.

Four substantial differences exist between  
5 pCMX and its parent plasmid, CDM8. First, the gene encoding  $\beta$ -lactamase (conferring resistance to ampicillin) replaces the tRNA amber suppressor gene as the selectable marker in E. coli. The  $\beta$ -lactamase gene was derived from pGEM4Z (a plasmid commercially  
10 available from Promega Corp.). The portion of pGEM4Z utilized in the construction of pCMX is also found in a previous series of plasmids, such as pUC (Yanisch-Perron, et al., 1985, Gene 33:103-109) based  
15 derivatives. Second, as depicted in Figure 2, the  $\pi$ VX plasmid origin of replication in CDM8, used to permit episomal replication in E. coli, has been replaced with another plasmid origin of replication (originally derived from plasmid pBR322). This origin was  
20 introduced together with the  $\beta$ -lactamase gene from pGEM4Z using PCR. Third, the origin of replication from the single-stranded bacteriophage, M13, has been removed. The M13 origin of replication contains  
25 cis-acting sequences promoting single-stranded DNA production from double stranded plasmid template. Single stranded DNA has been utilized for years as the  
30 template of choice for the dideoxy method of DNA sequencing. However, recent advances in recombinant DNA techniques allow for direct and efficient sequencing from a double stranded plasmid template.  
35 Third, the polyoma origin of replication has been removed. This origin of replication allows for propagation of plasmids in mammalian cell lines infected with the polyoma virus. The majority of applications involving cDNA expression cloning, as well as the transient and stable expression of genes of



interest, in mammalian cell lines are adequately met  
utilizing COS cell types. Therefore, the polyoma  
origin was deemed unnecessary and was removed during  
the construction of pCMX. Replacement of the tRNA  
5 amber suppressor gene (200 bp) with the  $\beta$ -lactamase  
gene and pBR origin of replication (1050 bp) and  
removal of the M13 origin (600 bp) and polyoma origin  
(800 bp) result in a pCMX vector that is 550 bp smaller  
(12% reduction) than CDM8 (Figure 2).

10 The vector, pCMX, allows for cDNA expression  
cloning, as well as the overexpression of genes of  
interest in mammalian cell lines.

## 15 6. EXAMPLES

### 6.1. MATERIALS AND METHODS

#### 6.1.1. CONSTRUCTION OF pCMX

The eukaryotic expression plasmid, pCMX  
(Figure 1), was constructed as described schematically  
20 in Figure 2. Briefly, an SstI and a BamHI restriction  
site were generated flanking the SV40 origin of  
replication via the polymerase chain reaction (PCR)  
(Figure 2; primer C and D). The PCR product was  
isolated and digested with SstI/BamHI to create a  
25 fragment flanked by these two sites. This fragment  
contains the SV40 origin of replication from CDM8 but  
lacks the polyoma origin, M13 origin and tRNA  
suppressor gene (supF) contained within the BamHI/MluI  
fragment of CDM8. Primers A and B were used with  
30 pGEM4Z to generate a PCR product that contains the  $\beta$ -  
lactamase gene and the pBR322 origin of replication.  
Primer A introduces an MluI site while primer B  
introduces an SstI site. This MluI/SstI fragment was  
introduced into a triple ligation with the SstI/BamHI  
35 fragment containing the SV40 origin of replication and

CDM8 digested with BamHI/MluI (which comprises the transcriptional unit); resulting in pCMX (Figure 2).

6.1.2. CONSTRUCTION OF CDM8:NGF-MYC AND pCMX:NGF-MYC

5 The patch PCR technique was used to generate a PCR product containing the mouse NGF gene (kindly provided by Drs. E. Shooter and U. Suter, Stanford University) linked, through a bridge encoding two glycines, to a sequence encoding the 10 amino acid myc epitope (Squinto, et al., 1990, Neuron 5:757-766). The  
10 primers were designed to result in a PCR product in which the last two codons of the native NGF gene were deleted due to possibility that the amino acids encoded by these codons would represent a proteolytic cleavage  
15 site that would result in the loss of the myc epitope. The PCR product was then digested with XhoI and subcloned into the CDM8 expression plasmid to generate the expression plasmid CDM8:NGF-myc (Figure 3) as deposited with the ATCC under the accession number  
20 40825 and strain designation pC8-LmN-3' MYC. The NGF-myc insert was excised from CDM8 with the enzymes XhoI and NotI and subcloned into XhoI/NotI-digested pCMX, yielding the plasmid pCMX:NGF-myc (Figure 3).

25 6.1.3. TRANSFECTION OF COSM5 CELLS WITH pCMX:NGF-MYC AND CDM8:NGF-MYC

COSM5 cells were transfected using a DEAE-dextran protocol (Maniatis, et al, supra). Twenty four hours prior to transfection, exponentially growing  
30 COSM5 cells were harvested by trypsinization and replated at a density of  $1.5 \times 10^5$  cells/35 mm dish in complete growth media. The cultures were incubated for approximately 24 hours at 37C° in a 5% CO<sub>2</sub> incubator. For a 35 mm dish, the medium was aspirated off and 1ml  
35 of transfection media was immediately added to the

culture dish (transfection media is: RPMI (serum free)/  
400 µg/ml DEAE/ 100 µM chloroquine / 1 x glutamine/  
1x ITS [insulin-transferrin-selinite]). Ten nanograms  
to 10 micrograms of DNA to be transfected was added,  
5 the culture dish swirled several times and placed at  
37°C in a 5% CO<sub>2</sub> incubator for 3-3.5 hr. Cells  
routinely appeared slightly vacuolated subsequent to  
the incubation. The transfection medium was replaced  
with an equal volume of PBS/10% DMSO for 2-3 minutes.  
10 This medium was then replaced with growth medium (10%  
FBS in Dulbecco's modified Eagles medium [DMEM]) and  
incubated further at 37°C at 5% CO<sub>2</sub> for 2-3 days.

6.1.4. <sup>35</sup>S-METHIONINE LABELLING OF  
POLYPEPTIDES IN TRANSFECTED  
15 COSM5 CELLS

Approximately 5 x 10<sup>5</sup> COSM5 cells/60mm dish  
were plated in 2.5 ml of growth medium containing 10%  
FBS following trypsin-EDTA treatment. The cells were  
then transfected with 10 µg of either CDM8:NGF-myc DNA,  
20 pCMX:NGF-myc DNA or CDM8 DNA utilizing the DEAE-dextran  
protocol as described, supra. The transfected cells  
were incubated for 48-72 hours at 37°C in a 5% CO<sub>2</sub>  
incubator. The supernatant was removed, cells were  
washed once with 1 x PBS (lacking Ca/Mg), and once with  
25 5 ml DMEM without methionine. One milliliter of DMEM  
(without methionine) containing 1 x ITS was added to  
the cells and incubation was continued at 37°C in a 5%  
CO<sub>2</sub> incubator for 1 hour. To the medium was added 100  
µCi of <sup>35</sup>S-methionine and incubation was continued for 4  
30 hours under identical conditions. Debris was removed  
by microcentrifugation, and the supernatant was  
collected and transferred to a fresh, sterile eppendorf  
tube and either prepared for electrophoresis or stored  
at -70°C for a period of up to two weeks. Samples were  
35

prepared for electrophoresis through a 15% polyacrylamide-SDS gel by standard techniques (see Maniatis, et.al; supra).

5           6.1.5.     BIOASSAY OF pCMX:NGF-MYC AND  
                  CDM8:NGF-MYC COS CELL EXTRACTS  
                  IN DORSAL ROOT GANGLION EXPLANTS

COSM5 cells were transfected with either pCMX:NGF-myc DNA, CDM8:NGF-myc DNA or mock-transfected (no DNA) were collected by centrifugation. Cell  
10 supernatants were prepared. Biological activity of the supernatants were assayed on explants of chick embryo dorsal root ganglia (DRG) as described in Lindsay and Rohrer (1985, Devel. Biol. 112:30). Briefly, DRG were  
15 dissected from chick embryos of 10 days incubation (E10) and 5-6 ganglia were explanted in 1 ml of a collagen gel matrix in 35 mm tissue culture dishes. After the gel had set, 1 ml of tissue culture growth medium F14 (Imperial Labs. U.K.) supplemented with 5%  
20 heat-inactivated horse serum (GIBCO) was added before addition of varying amounts of transfected COS cell supernatants. In explant cultures, the activity of respective COS cell extracts was determined by assessing the extent of fiber outgrowth in treated  
25 cultures compared to controls. Fiber outgrowth was scored on a scale of 0 to 5+, by comparing cultures to photographs of a dose-response of explanted DRG and cultured with increasing amounts of NGF. In all cases results were derived from triplicate cultures.

30           6.1.6.     CONSTRUCTION OF A CNTF-RECEPTOR  
                  EXPRESSION LIBRARY

SH-SY5Y cells (originally obtained from Dr. June Biedler) were used as a source of mRNA for construction of a cDNA library using the pCMX

35

expression vector. Inserts for the cDNA library (see Section 2 for discussion) were selected on an agarose gel for sizes larger than 1 kb. The expression library was utilized to evaluate the efficiency of pCMX in  
5 expression cloning. As a working example, but not a limitation, cDNA clones expressing the ciliary neurotrophic factor receptor gene were isolated (*infra*, Section 6.1.8).

#### 10 6.1.7. "PANNING" METHOD

The "panning" method developed by Seed and Aruffo (1987, Proc. Natl. Acad. Sci. U.S.A. 84:3365-3369) was modified as follows: Instead of incubating the cells with antibodies recognizing the receptor,  
15 cells were incubated first with a modified form of rat CNTF engineered to contain a polypeptide epitope of the human C-myc protein (designated CNTF-myc). The ligand was used at 1  $\mu$ g/ml and incubation was carried out on ice for 30 minutes; cells were then spun through PBS/2%  
20 Ficoll to remove excess ligand, and then incubated with the anti-myc monoclonal antibody 9E10 (obtained from Oncogene Sciences, Manhasset, N.Y.) for 30 minutes on ice. This was followed by another spin through PBS/2% Ficoll and "panning" on plates coated with goat anti-  
25 mouse IgG antibody obtained from Sigma. The plates were prepared as follows: Bacteriological 60 mm plates (Falcon 1007 or the equivalent), or 10 cm dishes such as Fisher 8-757-12 were coated with goat anti-mouse antibody, diluted to 10  $\mu$ g/ml per ml in 50 mM Tris-HCl,  
30 pH 9.5. Three ml of antibody was used to coat each 6 cm dish, or 10 ml was used per 10 cm dish; plates were exposed to antibody for about 1.5 hours, then antibody was removed to the next dish, allowed to stand for 1.5 hours, and then removed again to a third dish.  
35 Plates were washed three times with 0.15 M NaCl (a wash

bottle is convenient for this), and incubated with 3 ml of 1 mg/ml BSA in PBS overnight. In particular, "panning" was performed as follows: Cells were cultured in 60 mm dishes. Medium was aspirated from each dish, and 2 ml PBS/0.5 mM EDTA/0.02% azide was added and the mixture was incubated at 37° for 30 min. to detach cells from the dish. The cells were triturated vigorously with a short pasteur pipet, collected from each dish in a centrifuge tube, and spun 4 min. at 200 x g. Cells were resuspended in 0.5-1.0 ml PBS/EDTA/azide/5% FBS and incubated with CNTF:myc for 30 min. on ice. An equal volume of PBS/EDTA/azide was layered carefully on 3 ml PBS/EDTA/azide/2% Ficoll, spun 4 min. at 200 x g, and the supernatant was aspirated in one smooth movement. The cells were then incubated with 9E10 antibody for 30 minutes on ice, and the spin through PBS/EDTA/azide/2% Ficoll was repeated. The cells were taken up in 0.5 ml PBS/EDTA/azide/5% FBS by pipetting through 100 micron Nylon mesh (Tetko). Cells were added from at most two 60 mm dishes to one 60 mm goat anti-mouse Ig antibody coated plate, and allowed to sit at room temperature 1-3 hours. Excess cells not adhering to dish were removed by gentle washing with PBS/5% serum or with medium (2 or 3 washes of 3 ml were usually sufficient).

#### 6.1.8. IDENTIFICATION OF CLONES CONTAINING THE CILIARY NEUROTROPHIC FACTOR RECEPTOR GENE

Plasmid DNA from the expression library was transfected into COSM5 cells (approximately 250-500 ng per 100 mm dish; 2 dishes were transfected), using DEAE/chloroquine according to standard procedures. Two days after transfection, cells were detached from their dishes and subjected to the Aruffo/Seed panning procedure modified as described supra.

After washing nonadhering cells from the plates, Hirt supernatants were prepared, and plasmid DNA was precipitated in the presence of 10-20  $\mu$ g of tRNA. The resulting DNA was introduced into DH10B  
5 bacteria by electroporation (Electromax, BRL) according to the manufacturer's instructions. Cultures grown from the electroporated bacteria were used to prepare plasmid DNA for another round of transfection and panning; a plate of COS cells transfected with this  
10 plasmid DNA clearly revealed a large number of COS cells expressing the CNTFR by an indirect iodinated-antibody binding assay (carried out according to Section 6.1.9). After a second round of panning/plasmid DNA isolation/electroporation on these  
15 transfectants, the bacterial transformants resulting from the electroporation step were plated out on ampicillin plates. Fifteen individual bacterial colonies were picked, and plasmid DNA prepared from each of the clones were transfected individually into  
20 COS cells for assay.

#### 6.1.9. INDIRECT $^{125}$ I GOAT ANTI-MOUSE ANTIBODY BINDING ASSAY

COS cells were transfected with plasmid DNA from the library, the enriched library, or individual  
25 clones. After 48 hours, cells were incubated sequentially for 30 minutes on ice with PBS (with Ca, Mg)/5% FBS containing:

- 1) 1  $\mu$ g/ml CNTF-myc;
- 2) 10  $\mu$ g/ml 9E10;
- 30 3)  $^{125}$ I goat anti-mouse antibody (GaM) (0.5-1  $\mu$ Ci/ml).

Cells were washed 3 x 5 minutes in PBS/5% FBS after each step. After the last wash, the plates were  
35 autoradiographed.

For the individual clones, a quantitative estimate of total radioactivity bound was made with a hand-held gamma counter.

5 6.1.10. FLUORESCENCE ACTIVATED CELL-SORTING ANALYSIS

Transfected COS cells were incubated sequentially with CNTF-myc, 9E10 antibody, and FITC-labelled goat anti-mouse antibody. Then they were detached from dishes and subjected to FACS analysis. COS cells  
10 transfected with a CNTF-receptor expressing plasmid contain a large subpopulation displaying greatly increased fluorescence by this assay.

6.2. RESULTS

15 6.2.1 COMPARATIVE EXPRESSION OF  
pCMX:NGF-MYC AND CDM8:NGF-MYC

<sup>35</sup>S-Methionine labelled COSM5 cells were collected as described, supra. Equal amounts of COSM5 cell supernatants from mock transfected cells (Figure 4, lane 1), cells transfected with CDM8:NGF-myc DNA  
20 (Figure 4, lanes 2 + 3) or pCMX:NGF-myc DNA (lanes 4 + 5) were electrophoresed through a 15% polyacrylamide-SDS gel and subjected to autoradiography. The autoradiograph was analyzed by scanning densitometry. The  
25 level of expression of NGF-myc in COSM5 cells transfected with pCMX:NGF-myc was approximately 8-10 fold higher than in COSM5 cells transfected with CDM8:NGF-myc (compare Lanes 4 + 5 with lanes 2 + 3 [see arrow]). Also, note the complete lack of NGF-myc expression in  
30 COSM5 cells transfected with pCMX minus the NGF-myc insert.

Bioactivity of COSM5 cell supernatants on DRG explants is presented in Table I.



**Table I.**  
**Bioactivity of COS cell supernatants on DRG explants**

		<u>Neurite outgrowth</u>
5	Positive Control*	5+,5,4,4,4
	Mock Transfected	
	50 $\mu$ l	0,0,0,1/2,1/2
10	CDM8:NGF-myc:	
	50 $\mu$ l	5+,5+,5+,5+,1
	20 $\mu$ l	5,5,5,5,5
	10 $\mu$ l	2,3,3,4,4
15	5 $\mu$ l	2,3,2,2,3
	1 $\mu$ l	1,1,1,2,2
	CMX:NGF-myc:	
	50 $\mu$ l	5+,5+,5+,5+,5+
20	20 $\mu$ l	5+,5+,5+,5+,5+
	10 $\mu$ l	5,5,5,5,5+
	5 $\mu$ l	3,4,4,4,4
	1 $\mu$ l	1,1,1,2,2

---

25 \*NGF at 5 ng/ml

30

35

Increasing amounts of extract from pCMX:NGF-myc and CDM8:NGF-myc were tested. The most notable effect was observed when either 5  $\mu$ l or 10  $\mu$ l of either supernatant is tested. In such a case, approximately a two to four-fold increase in NGF-myc activity was observed in supernatants from CMX:NGF-myc transfected COSM5 cells, as compared with the pCDM8:NGF-myc transfected COSM5 cell supernatants.

Therefore, expression of stable protein as well as the biological activity of said protein was substantially greater in pCMX:NGF-myc transfected cells than with CDM8:NGF-myc transfected cells.

#### 6.2.2 ISOLATION OF cDNA ENCODING CNTFR

Out of 15 plasmids tested as described supra, 14 resulted in transfected COS cells expressing CNTF binding sites as determined by a variety of assays, including the indirect antibody binding assay and fluorescence activated cell sorting (FACS) analysis described infra.

Restriction analysis of the 14 positive clones showed that they fell into four classes. Members of each class produced an identical pattern of bands on digestion with the enzyme PstI. Further restriction analysis revealed that the four classes of clones overlapped, and preliminary sequence data confirmed that they shared overlapping sequences at their 5' ends.

To characterize the proteins coded for by the four classes of clones, they were all transcribed from the T7 promoter in the 5' region of the vector polylinker. After in vitro translation, the products were electrophoresed on a polyacrylamide gel. Class (a) produced no protein, since it is in the wrong

- 25 -

orientation with respect to the T7 promoter. The other three classes all produced proteins of identical sizes (approximately 42 kd), verifying that they encoded the same protein. This demonstrates that pCMX performed  
5 reliably in the isolation of 4 independent clones of the CNTFR. Additional results confirmed the existence of cDNAs encoding the CNTFR, as shown by indirect antibody binding assay and fluorescence activated cell sorting "(FACS)" analysis (see copending application  
10 entitled "Ciliary Neurotrophic Factor Receptor" filed on even date herewith, and incorporated by reference herein).

#### 7. DEPOSIT OF MICROORGANISMS

15 The following microorganisms were deposited with the Agricultural Research Culture Collection (NRRL), Northern Regional Research Center, 1815 North University St., Peoria, IL, 61604, on March 26, 1991 and given accession numbers as follows:

20	<u>Strain</u>	<u>Plasmid</u>	<u>Accession No.</u>
	<u>E. coli</u> DH5 $\alpha$ F1 carrying	pCMX	B-18790

The plasmid DNA expression vector CDM8:NGF-myc was  
25 previously deposited with the ATCC with the accession numbers 40825 (strain designation pC8-LmN-3'MYC) and 40864 (strain designation pC8/mN/MYC-NM1).

The present invention is not to be limited in scope by the microorganisms deposited or the  
30 embodiments disclosed in the examples which are intended as illustrations of a few aspects of the invention, and any embodiments which are functionally equivalent are within the scope of this invention. Indeed, various modifications of the invention in  
35 addition to those shown and described herein will

become apparent to those skilled in the art and are intended to fall within the scope of the appended claims.

5           Various references are cited herein, the disclosures of which are incorporated by reference in their entireties.

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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: Davis, Sam  
Yancopoulos, George D.
- (ii) TITLE OF INVENTION: Mammalian Expression Vector
- (iii) NUMBER OF SEQUENCES: 1
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Pennie & Edmonds
  - (B) STREET: 1155 Avenue of the Americas
  - (C) CITY: New York
  - (D) STATE: N.Y.
  - (F) ZIP: 10036
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Misrock, S.Leslie
  - (B) REGISTRATION NUMBER: 18,872
  - (C) REFERENCE/DOCKET NUMBER: 6526-052-999
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 212 790-9090
  - (B) TELEFAX: 212 869-9741

## (2) INFORMATION FOR SEQ ID NO:1:

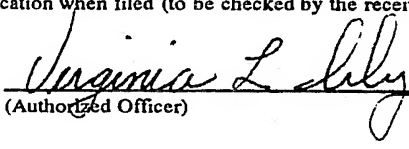
- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 3944 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: circular
- (ii) MOLECULE TYPE: cDNA

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

ACGCGTTGAC ATTGATTATT GACTAGTTAT TAATAGTAAT CAATTACGGG GTCATTAGTT	60
CATAGCCCAT ATATGGAGTT CCGCGTTACA TAACTTACGG TAAATGGCCC CGCCTGGCTG	120
ACCGCCCAAC GACCCCGGCC CATTGACGTC AATAATGACG TATGTTCCCA TAGTAACGCC	180
AATAGGGACT TTCCATTGAC GTCAATGGGT GGACTATTTA CGGTAAACTG CCCACTTGGC	240
AGTACATCAA GTGTATCATA TGCCAAGTAC GCCCCCTATT GACGTCAATG ACGGTAAATG	300
GCCCGCCTGG CATTATGCCC AGTACATGAC CTTATGGGAC TTTCTACTT GGCAGTACAT	360
CTACGTATTA GTCATCGCTA TTACCATGGT GATGCGGTTT TGGCAGTACA TCAATGGGCG	420
TGGATAGCGG TTTGACTCAC GGGGATTTC AAGTCTCCAC CCCATTGACG TCAATGGGAG	480

TTTGTTTTGG	CACCAAATC	AACGGGACTT	TCCAAAATGT	CGTAACAAC	CCGCCCCATT	540
GACGCAAATG	GGCGGTAGGC	GTGTACGGTG	GGAGGTCTAT	ATAAGCAGAG	CTCTCTGGCT	600
AACTAGAGAA	CCCACTGCTT	ACTGGCTTAT	CGAAATTAAT	ACGACTCACT	ATAGGGAGAC	660
CGGAAGCTTC	TAGAGATCCC	TCGACCTCGA	GATCCATTGT	GCTGGCGCGG	ATTCTTTATC	720
ACTGATAAGT	TGGTGGACAT	ATTATGTTTA	TCAGTGATAA	AGTGTCAAGC	ATGACAAAGT	780
TGCAGCCGAA	TACAGTGATC	CGTGCCGCCC	TAGACCTGTT	GAACGAGGTC	GGCGTAGACG	840
GTCTGACGAC	ACGCAAAC	GCGGAACGGT	TGGGGGTTCA	GCAGCCGGCG	CTTTACTGGC	900
ACTTCAGGAA	CAAGCGGGCG	CTGCTCGACG	CACTGGCCGA	AGCCATGCTG	GCGGAGAATC	960
ATAGCACTTC	GGTGCCGAGA	GCCGACGACG	ACTGGCGCTC	ATTTCTGACT	GGGAATGCCC	1020
GCAGCTTCAG	GCAGGCGCTG	CTCGCCTACC	GCCAGCACAA	TGGATCTCGA	GGGATCTTCC	1080
ATACCTACCA	GTTCTGCGCC	TGCAGGTCGC	GGCCGCGACT	CTAGAGGATC	TTTGTGAAGG	1140
AACCTTACTT	CTGTGGTGTG	ACATAATTGG	ACAACTACC	TACAGAGATT	TAAAGCTCTA	1200
AGGTAAATAT	AAAATTTT	AGTGTATAAT	GTGTTAACT	ACTGATTCTA	ATTGTTTGTG	1260
TATTTTAGAT	TCCAACCTAT	GGAAGTATG	AATGGGAGCA	GTGGTGGAAT	GCCTTTAATG	1320
AGGAAAACCT	GTTTTGCTCA	GAAGAAATGC	CATCTAGTGA	TGATGAGGCT	ACTGCTGACT	1380
CTCAACATTC	TACTCCTCCA	AAAAGAAGA	GAAAGGTAGA	AGACCCCAAG	GACTTTCCTT	1440
CAGAATTGCT	AAGTTTTTTG	AGTCATGCTG	TGTTTAGTAA	TAGAACTCTT	GCTTGCTTTG	1500
CTATTTACAC	CACAAAGGAA	AAAGCTGCAC	TGCTATACAA	GAAAATTATG	GAAAAATATT	1560
CTGTAACCTT	TATAAGTAGG	CATAACAGTT	ATAATCATAA	CATACTGTTT	TTTCTTACTC	1620
CACACAGGCA	TAGAGTGTCT	GCTATTAATA	ACTATGCTCA	AAAATTGTGT	ACCTTTAGCT	1680
TTTTAATTTG	TAAAGGGGTT	AATAAGGAAT	ATTTGATGTA	TAGTGCCTTG	ACTAGAGATC	1740
ATAATCAGCC	ATACCACATT	TGTAGAGGTT	TTACTTGCTT	TAAAAACCT	CCCACACCTC	1800
CCCCTGAACC	TGAAACATAA	AATGAATGCA	ATTGTTGTTG	TTAACTTGTT	TATTGCAGCT	1860
TATAATGGTT	ACAAATAAAG	CAATAGCATC	ACAAATTTCA	CAAATAAAGC	ATTTTTTTCA	1920
CTGCATTCTA	GTTGTGGTTT	GTCCAAACTC	ATCAATGTAT	CTTATCATGT	CTGGATCCTT	1980
ACTCCGCCCA	TCCCGCCCCC	AACTCCGCCC	AGTTCCGCCC	ATTCTCCGCC	CCATGGCTGA	2040
CTAATTTTTT	TTATTTATGC	AGAGGCCGAG	GCCGCCTCGG	CCTCTGAGCT	ATTCCAGAAG	2100
TAGTGAGGAG	GCTTTTTTGG	AGGCCTAGGC	TTTTGCAAAA	AGGAGCTCCC	AGCAAAAGGC	2160
CAGGAACCGT	AAAAAGGCCG	CGTTGCTGGC	GTTTTTCCAT	AGGCTCCGCC	CCCCTGACGA	2220
GCATCACAAA	AATCGACGCT	CAAGTCAGAG	GTGGCGAAAC	CCGACAGGAC	TATAAAGATA	2280
CCAGGCGTTT	CCCCCTGGAA	GCTCCCTCGT	GCGCTCTCCT	GTTCCGACCC	TGCCGCTTAC	2340
CGGATACCTG	TCCGCCTTTC	TCCCTTCGGG	AAGCGTGGCG	CTTCTCAAT	GCTCACGCTG	2400
TAGGTATCTC	AGTTCGGTGT	AGGTCGTTTC	CTCCAAGCTG	GGCTGTGTGC	ACGAACCCCC	2460
CGTTCAGCCC	GACCGCTGCG	CCTTATCCGG	TAATATCGT	CTTGAGTCCA	ACCCGGTAAG	2520
ACACGACTTA	TCGCCACTGG	CAGCAGCCAC	TGGTAACAGG	ATTAGCAGAG	CGAGGTATGT	2580

AGGCGGTGCT	ACAGAGTTCT	TGAAGTGGTG	GCCTAACTAC	GGCTACACTA	GAAGGACAGT	2640
ATTTGGTATC	TGCGCTCTGC	TGAAGCCAGT	TACCTTCGGA	AAAAGAGTTG	GTAGCTCTTG	2700
ATCCGGGCAAA	CAAACCACCG	CTGGTAGCGG	TGGTTTTTTT	GTTTGCAAGC	AGCAGATTAC	2760
GCGCAGAAAA	AAAGGATCTC	AAGAAGATCC	TTTGATCTTT	TCTACGGGGT	CTGACGCTCA	2820
GTGGAACGAA	AACTCACGTT	AAGGGATTTT	GGTCATGAGA	TTATCAAAAA	GGATCTTCAC	2880
CTAGATCCTT	TTAAATTAAA	AATGAAGTTT	TAAATCAATC	TAAAGTATAT	ATGAGTAAAC	2940
TTGGTCTGAC	AGTTACCAAT	GCTTAATCAG	TGAGGCACCT	ATCTCAGCGA	TCTGTCTATT	3000
TCGTTCATCC	ATAGTTGCCT	GACTCCCCGT	CGTGTAGATA	ACTACGATAC	GGGAGGGCTT	3060
ACCATCTGGC	CCCAGTGCTG	CAATGATACC	GCGAGACCCA	CGCTCACC GG	CTCCAGATTT	3120
ATCAGCAATA	AACCAGCCAG	CCGGAAGGGC	CGAGCGCAGA	AGTGGTCCTG	CAACTTTATC	3180
CGCCTCCATC	CAGTCTATTA	ATTGTTGCCG	GGAAGCTAGA	GTAAGTAGTT	CGCCAGTTAA	3240
TAGTTTGCGC	AACGTTGTTG	CCATTGCTAC	AGGCATCGTG	GTGTCACGCT	CGTCGTTTGG	3300
TATGGCTTCA	TTCAGCTCCG	GTTCCCAACG	ATCAAGGCGA	GTTACATGAT	CCCCCATGTT	3360
GTGCAAAAAA	GCGGTTAGCT	CCTTCGGTCC	TCCGATCGTT	GTCAGAAGTA	AGTTGGCCGC	3420
AGTGTTATCA	CTCATGGTTA	TGGCAGCACT	GCATAATTCT	CTTACTGTCA	TGCCATCCGT	3480
AAGATGCTTT	TCTGTGACTG	GTGAGTACTC	AACCAAGTCA	TTCTGAGAAT	AGTGTATGCG	3540
GCGACCGAGT	TGCTCTTGCC	CGGCGTCAAT	ACGGGATAAT	ACCGCGCCAC	ATAGCAGAAC	3600
TTTAAAAGTG	CTCATCATTG	GAAAACGTTC	TCGGGGCGA	AAACTCTCAA	GGATCTTACC	3660
GCTGTTGAGA	TCCAGTTCGA	TGTAACCCAC	TCGTGCACCC	AACTGATCTT	CAGCATCTTT	3720
TACTTTTACC	AGCGTTTCTG	GGTGAGCAAA	AACAGGAAGG	CAAAATGCCG	CAAAAAGGG	3780
AATAAGGGCG	ACACGGAAAT	GTTGAATACT	CATACTCTTC	CTTTTTCAAT	ATTATTGAAG	3840
CATTTATCAG	GGTTATTGTC	TCATGAGCGG	ATACATATTT	GAATGTATTT	AGAAAAATAA	3900
ACAAATAGGG	GTTCCGCGCA	CATTTCCCCG	AAAAGTGCCA	CCTG		3944

MICROORGANISMS	
Optional Sheet in connection with the microorganisms referred to on page 25, lines 14-27 of the description *	
<b>A. IDENTIFICATION OF DEPOSIT *</b> Further deposits are identified on an additional sheet <input checked="" type="checkbox"/> *	
Name of depositary institution *  Agricultural Research Culture Collection (NRRL) International Depositary Authority	
Address of depositary institution (including postal code and country) *  1815 N. University Street Peoria, IL 61604 US	
Date of deposit * March 26, 1991	Accession Number * NRRL B-18790
<b>B. ADDITIONAL INDICATIONS *</b> (leave blank if not applicable). This information is continued on a separate attached sheet	
<b>C. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE *</b> (if the indications are not all designated States)	
<b>D. SEPARATE FURNISHING OF INDICATIONS *</b> (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later * (Specify the general nature of the indications e.g., "Accession Number of Deposit")	
<b>E.</b> <input checked="" type="checkbox"/> This sheet was received with the International application when filed (to be checked by the receiving Office)	
<div style="text-align: right;"> (Authorized Officer)</div>	
<input type="checkbox"/> The date of receipt (from the applicant) by the International Bureau "	
was	<div style="text-align: right;"> (Authorized Officer)</div>



Form PCT/RO/134 (cont.)

American Type Culture Collection  
12301 Parklawn Drive  
Rockville, MD 20852  
US

<u>Accession No.</u>	<u>Date of Deposit</u>
40825	June 5, 1990

American Type Culture Collection  
12301 Parklawn Drive  
Rockville, MD 20852  
US

<u>Accession No.</u>	<u>Date of Deposit</u>
40864	August 3, 1990

WHAT IS CLAIMED IS:

1. A DNA plasmid vector capable of replication in prokaryotic and eukaryotic cells comprising:
- a) a eukaryotic transcription unit of
    - (i) the early immediate HCMV promoter region, and
    - (ii) a generic polylinker sequence, and
    - (iii) an SV40 splice/polyadenylation site;
  - b) an SV40 origin of replication;
  - c) the gene encoding resistance to an antibiotic, under control of a prokaryotic promoter; and
  - d) a pBR322 origin of replication.

2. The DNA vector of claim 1 that is pCMX as deposited with the NRRL, having accession number B-18790.

3. The DNA vector of claim 1 further comprising a structural gene inserted in the 5' → 3' orientation within the polylinker sequence.

4. The DNA vector of claim 2 further comprising a structural gene inserted in the 5' → 3' orientation within the polylinker sequence.

5. The DNA vector of claim 1 further comprising a structural gene inserted in the 3' → 5' orientation within the polylinker sequence.

6. The DNA vector of claim 2 further comprising a structural gene inserted in the 3' → 5' orientation within the polylinker sequence.

- 33 -

7. The DNA vector of claim 1 further comprising a structural gene which encodes NGF-myc inserted in the 5' → 3' orientation within the polylinker sequence.
- 5 8. The DNA vector of claim 2 further comprising a structural gene which encodes NGF-myc inserted in the 5' → 3' orientation within the polylinker sequence.
- 10 9. The DNA vector of claim 1 in which the gene encoding resistance to an antibiotic is a gene encoding  $\beta$ -lactamase.
- 15 10. The DNA vector of claim 1 in which the T7 phage RNA polymerase promoter is fused downstream from the early immediate HCMV promoter region.
- 20 11. The DNA vector of claim 2 in which the T7 phage RNA polymerase promoter is fused downstream from the early immediate HCMV promoter region.
12. The DNA vector pCMX:NGF-myc.
- 25 13. A DNA vector comprising pCMX:NGF-myc.
14. A DNA vector comprising pCMX.
15. A method of cloning a structural gene of interest comprising:
- 30 (a) inserting DNA containing the structural gene into the plasmid vector of claim 1;
- (b) introducing the plasmid vector resulting from step (a) into a mammalian host cell which
- 35 expresses the large T antigen of SV40;

SUBSTITUTE SHEET

- 5 (c) subjecting the host cell to conditions such that the structural gene is expressed by the host cell to produce a protein;
- (d) identifying the host cell by selection on the basis of one or more properties of the protein; and
- (e) isolating the host cell.

10 16. A method of cloning a structural gene of interest comprising:

- (a) inserting DNA containing the structural gene into the plasmid vector of claim 2;
- (b) introducing the plasmid vector resulting from step (a) into a mammalian host cell which expresses the large T antigen of SV40;
- 15 (c) subjecting the host cell to conditions such that the structural gene is expressed by the host cell to produce a protein;
- (d) identifying the host cell by selection on the basis of one or more properties of the protein; and
- 20 (e) isolating the host cell.

25 17. The method according to claim 15 in which the selection is carried out by a method comprising immunospecific binding of an antibody to the protein.

30 18. The method according to claim 16 in which the selection is carried out by a method comprising immunospecific binding of an antibody to the protein.

- 35 -

19. The method according to claim 17 in which the selection is carried out by a panning procedure.

5 20. The method according to claim 18 in which the selection is carried out by a panning procedure.

21. The method according to claim 15 in  
10 which the host cell is a COS cell.

22. The method according to claim 16 in which the host cell is a COS cell.

15 23. A method of producing a protein comprising growing a mammalian cell, which cell (a) expresses the large T antigen of SV40, and (b) contains the DNA vector of claim 3, in which the structural gene encodes the protein, and such that the protein is  
20 expressed by the cell.

24. A method of producing a protein comprising growing a mammalian cell, which cell (a) expresses the large T antigen of SV40, and (b) contains  
25 the DNA vector of claim 4, in which the structural gene encodes the protein, and such that the protein is expressed by the cell.

25. A method of producing a protein  
30 comprising growing a mammalian cell, which cell (a) expresses the large T antigen of SV40, and (b) contains the DNA vector of claim 5, in which the structural gene encodes the protein, and such that the protein is expressed by the cell.

35

26. A method of producing a protein comprising growing a mammalian cell, which cell (a) expresses the large T antigen of SV40, and (b) contains the DNA vector of claim 6, in which the structural gene  
5 encodes the protein, and such that the protein is expressed by the cell.

27. The method according to claim 23 in which the cell is a COS cell.

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28. The method according to claim 24 in which the cell is a COS cell.

29. The method according to claim 25 in  
15 which the cell is a COS cell.

30. The method according to claim 26 in which the cell is a COS cell.

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1/7

ACGCGTTGAC ATTGATTATT GACTAGTTAT TAATAGTAAT CAATTACGGG GTCATTAGTT 60  
CATAGCCCAT ATATGGAGTT CCGCGTTACA TAACTTACGG TAAATGGCCC CGCCTGGCTG 120  
ACCGCCCAAC GACCCCCGCC CATTGACGTC AATAATGACG TATGTTCCCA TAGTAACGCC 180  
AATAGGGACT TTCCATTGAC GTCAATGGGT GGACTATTTA CGGTAACTG CCCACTTGGC 240  
AGTACATCAA GTGTATCATA TGCCAAGTAC GCCCCCTATT GACGTCAATG ACGGTAAATG 300  
GCCCCGCTGG CATTATGCCC AGTACATGAC CTTATGGGAC TTTCCTACTT GGCAGTACAT 360  
CTACGTATTA GTCATCGCTA TTACCATGGT GATGCGGTTT TGGCAGTACA TCAATGGGCG 420  
TGGATAGCGG TTTGACTCAC GGGGATTTC AAGTCTCCAC CCCATTGACG TCAATGGGAG 480  
TTTGTTTTGG CACCAAATC AACGGGACTT TCCAAATGT CGTAACAACT CCGCCCCATT 540  
GACGCAAATG GCGGGTAGGC GTGTACGGTG GGAGGTCTAT ATAAGCAGAG CTCTCTGGCT 600  
AACTAGAGAA CCCACTGCTT ACTGGCTTAT CGAAATTAAT ACGACTCACT ATAGGGAGAC 660  
CGGAAGCTTC TAGAGATCCC TCGACCTCGA GATCCATTGT GCTGGCGCGG ATTCTTTATC 720  
ACTGATAAGT TGGTGGACAT ATTATGTTTA TCAGTGATAA AGTGTCAAGC ATGACAAAGT 780  
TGCAGCCGAA TACAGTGATC CGTGCCGCCC TAGACCTGTT GAACGAGGTC GCGGTAGACG 840  
GTCTGACGAC ACGCAAATG GCGGAACGGT TGGGGGTTCA GCAGCCGGCG CTTTACTGGC 900  
ACTTCAGGAA CAAGCGGGCG CTGCTCGACG CACTGGCCGA AGCCATGCTG GCGGAGAATC 960  
ATAGCACTTC GGTGCCGAGA GCCGACGACG ACTGGCGCTC ATTTCTGACT GGGAAATGCCC 1020  
GCAGCTTCAG GCAGGCGCTG CTCGCCTACC GCCAGCACAA TGGATCTCGA GGGATCTTCC 1080

FIG.1A

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ATACCTACCA GTTCTGCGCC TGCAGGTCGC GGCCGCGACT CTAGAGGATC TTTGTGAAGG 1140  
AACCTTACTT CTGTGGTGTG ACATAATTGG ACAAACCTACC TACAGAGATT TAAAGCTCTA 1200  
AGGTAAATAT AAAATTTTTA AGTGTATAAT GTGTAAACT ACTGATTCTA ATTGTTTGTG 1260  
TATTTTAGAT TCCAACCTAT GGAACGTATG AATGGGAGCA GTGGTGAAT GCCTTTAATG 1320  
AGGAAAACCT GTTTTGCTCA GAAGAAATGC CATCTAGTGA TGATGAGGCT ACTGCTGACT 1380  
CTCAACATTC TACTCCTCCA AAAAAGAAGA GAAAGGTAGA AGACCCCAAG GACTTTCCTT 1440  
CAGAATTGCT AAGTTTTTTG AGTCATGCTG TGTTTAGTAA TAGAACTCTT GCTTGCTTTG 1500  
CTATTTACAC CACAAAGGAA AAAGCTGCAC TGCTATACAA GAAAATTATG GAAAAATATT 1560  
CTGTAACCTT TATAAGTAGG CATAACAGTT ATAATCATAA CATACTGTTT TTTCTTACTC 1620  
CACACAGGCA TAGAGTGTCT GCTATTAATA ACTATGCTCA AAAATTGTGT ACCTTTAGCT 1680  
TTTTAATTTG TAAAGGGGTT AATAAGGAAT ATTTGATGTA TAGTGCCTTG ACTAGAGATC 1740  
ATAATCAGCC ATACCACATT TGTAGAGGTT TTAAGTGTCT TAAAAACCT CCCACACCTC 1800  
CCCTGAACC TGAAACATAA AATGAATGCA ATTGTTGTTG TTAAGTGTCT TATTGCAGCT 1860  
TATAATGGTT ACAAATAAAG CAATAGCATC ACAAATTTCA CAAATAAAGC ATTTTTTTCA 1920  
CTGCATTCTA GTTGTGGTTT GTCCAAACTC ATCAATGTAT CTTATCATGT CTGGATCCTT 1980  
ACTCCGCCCC TCCCGCCCCT AACTCCGCCC AGTTCCGCCC ATTCTCCGCC CCATGGCTGA 2040

FIG. 1B

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CTAATTTTTT TTATTATGC AGAGGCCGAG GCCGCCTCGG CCTCTGAGCT ATTCCAGAAG 2100  
TAGTGAGGAG GCTTTTTTGG AGGCCTAGGC TTTTGCAAAA AGGAGCTCCC AGCAAAAGGC 2160  
CAGGAACCGT AAAAAGGCCG CGTTGCTGGC GTTTTTCCAT AGGCTCCGCC CCCCTGACGA 2220  
GCATCACAAA AATCGACGCT CAAGTCAGAG GTGGCGAAAC CCGACAGGAC TATAAGATA 2280  
CCAGGCGTTT CCCCTGGAA GCTCCCTCGT GCGCTCTCCT GTTCCGACCC TGCCGCTTAC 2340  
CGGATACCTG TCCGCCTTTC TCCCTTCGGG AAGCGTGGCG CTTTCTCAAT GCTCACGCTG 2400  
TAGGTATCTC AGTTCGGTGT AGGTCGTTCT CTCCAAGCTG GGCTGTGTGC ACGAACCCCC 2460  
CGTTCAGCCC GACCGCTGCG CTTATCCGG TAACTATCGT CTTGAGTCCA ACCCGGTAAG 2520  
ACACGACTTA TCGCCACTGG CAGCAGCCAC TGGTAACAGG ATTAGCAGAG CGAGGTATGT 2580  
AGGCGGTGCT ACAGAGTTCT TGAAGTGGTG GCCTAACTAC GGCTACACTA GAAGGACAGT 2640  
ATTTGGTATC TCGCTCTGC TGAAGCCAGT TACCTTCGGA AAAAGAGTTG GTAGCTCTTG 2700  
ATCCGGCAAA CAAACCACCG CTGGTAGCGG TGGTTTTTTT GTTTGCAAGC AGCAGATTAC 2760  
GCGCAGAAAA AAAGGATCTC AAGAAGATCC TTTGATCTTT TCTACGGGGT CTGACGCTCA 2820  
GTGGAACGAA AACTCACGTT AAGGGATTTT GGTCATGAGA TTATCAAAAA GGATCTTCAC 2880  
CTAGATCCTT TTAAATTAAA AATGAAGTTT TAAATCAATC TAAAGTATAT ATGAGTAAAC 2940  
TTGGTCTGAC AGTTACCAAT GCTTAATCAG TGAGGCACCT ATCTCAGCGA TCTGTCTATT 3000

FIG.1C

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TCGTTTCATCC ATAGTTGCCT GACTCCCCGT CGTG TAGATA ACTACGATAC GGGAGGGCTT 3060  
ACCATCTGGC CCCAGTGCTG CAATGATACC GCGAGACCCA CGCTCACC GG CTCCAGATTT 3120  
ATCAGCAATA AACCAGCCAG CCGGAAGGGC CGAGCGCAGA AGTGGTCCTG CAACTTTATC 3180  
CGCCTCCATC CAGTCTATTA ATTGTTGCCG GGAAGCTAGA GTAAGTAGTT CGCCAGTTAA 3240  
TAGTTTGCGC AACGTTGTTG CCATTGCTAC AGGCATCGTG GTGTCACGCT CGTCGTTTGG 3300  
TATGGCTTCA TTCAGCTCCG GTTCCCAACG ATCAAGGCGA GTTACATGAT CCCCCATGTT 3360  
GTGCAAAAAA GCGGTTAGCT CCTTCGGTCC TCCGATCGTT GTCAGAAGTA AGTTGGCCGC 3420  
AGTGTTATCA CTCATGGTTA TGGCAGCACT GCATAATTCT CTTACTGTCA TGCCATCCGT 3480  
AAGATGCTTT TCTGTGACTG GTGAGTACTC AACCAAGTCA TTCTGAGAAT AGTGTATGCG 3540  
GCGACCGAGT TGCTCTTGCC CGGCGTCAAT ACGGGATAAT ACCGCGCCAC ATAGCAGAAC 3600  
TTTAAAAGTG CTCATCATTG GAAAACGTTT TCGGGGCGA AACTCTCAA GGATCTTACC 3660  
GCTGTTGAGA TCCAGTTCGA TGTAACCCAC TCGTGCACCC AACTGATCTT CAGCATCTTT 3720  
TACTTTCACC AGCGTTTCTG GGTGAGCAAA AACAGGAAGG CAAAATGCCG CAAAAAGGG 3780  
AATAAGGGCG ACACGGAAAT GTTGAATACT CATACTCTTC CTTTTTCAAT ATTATTGAAG 3840  
CATTTATCAG GGTATTGTC TCATGAGCGG ATACATATTT GAATGTATTT AGAAAAATAA 3900  
ACAAATAGGG GTTCCGCGCA CATTTCCCCG AAAAGTGCCA CCTG 3944

FIG.1D

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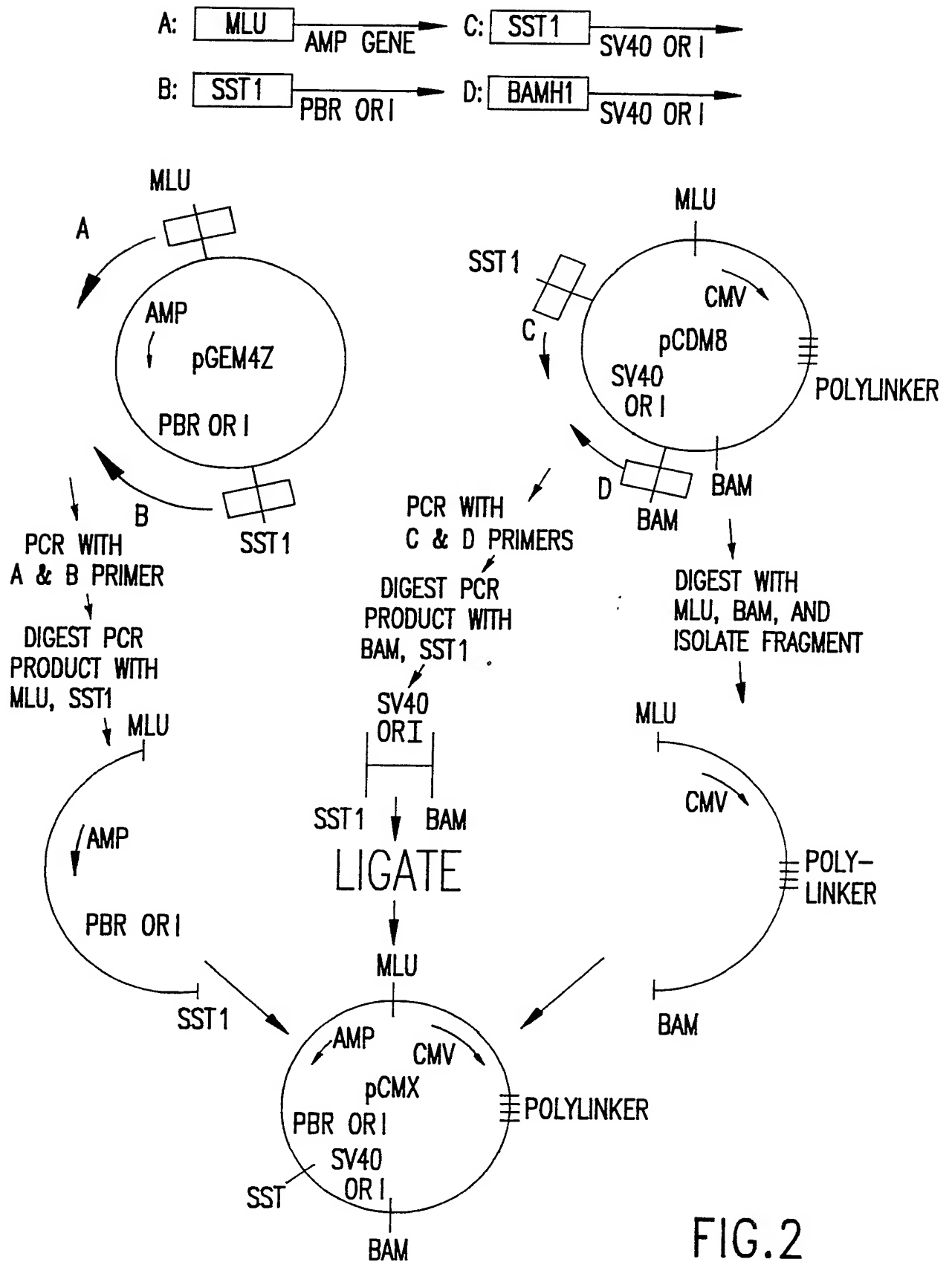


FIG.2

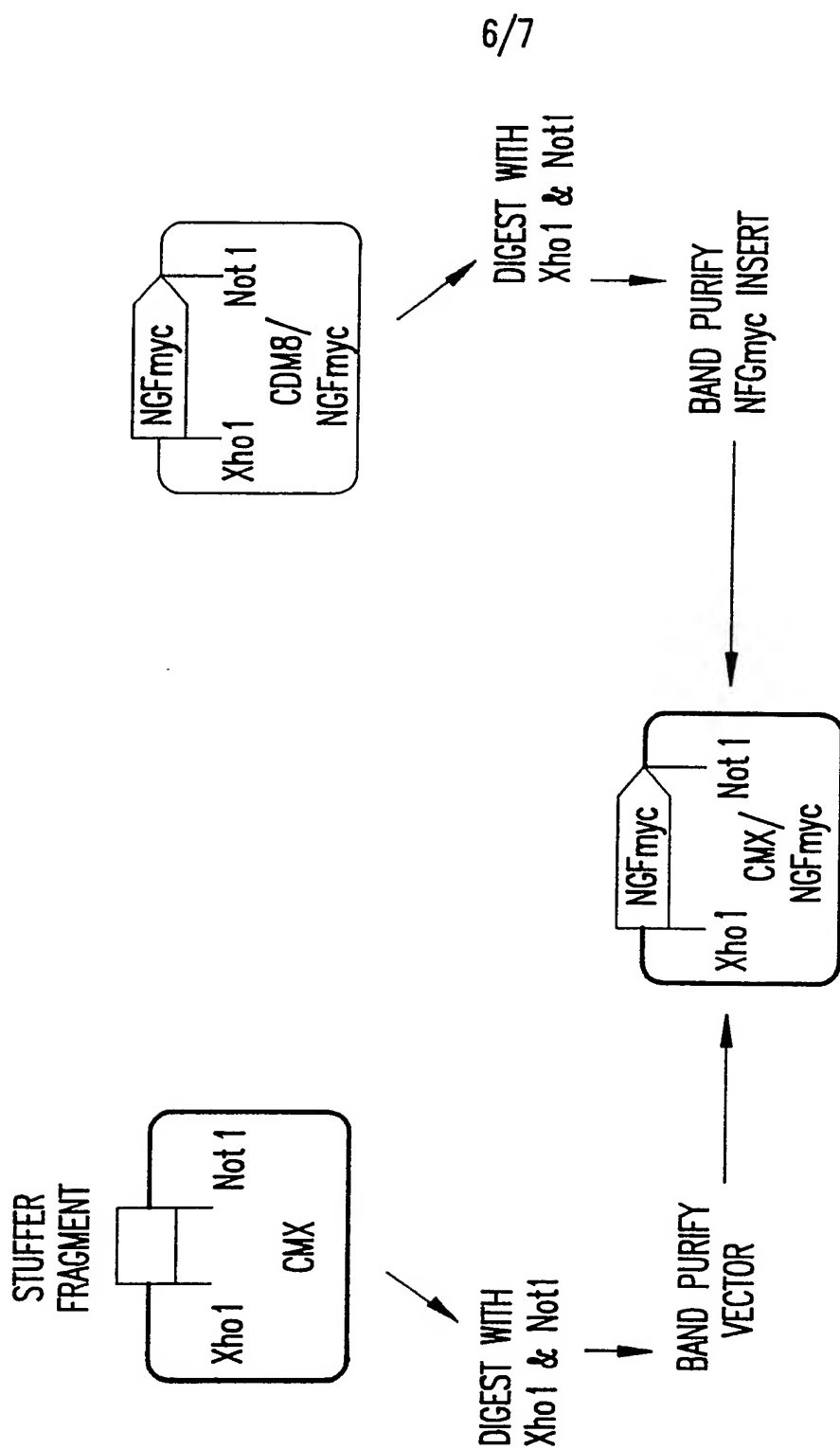
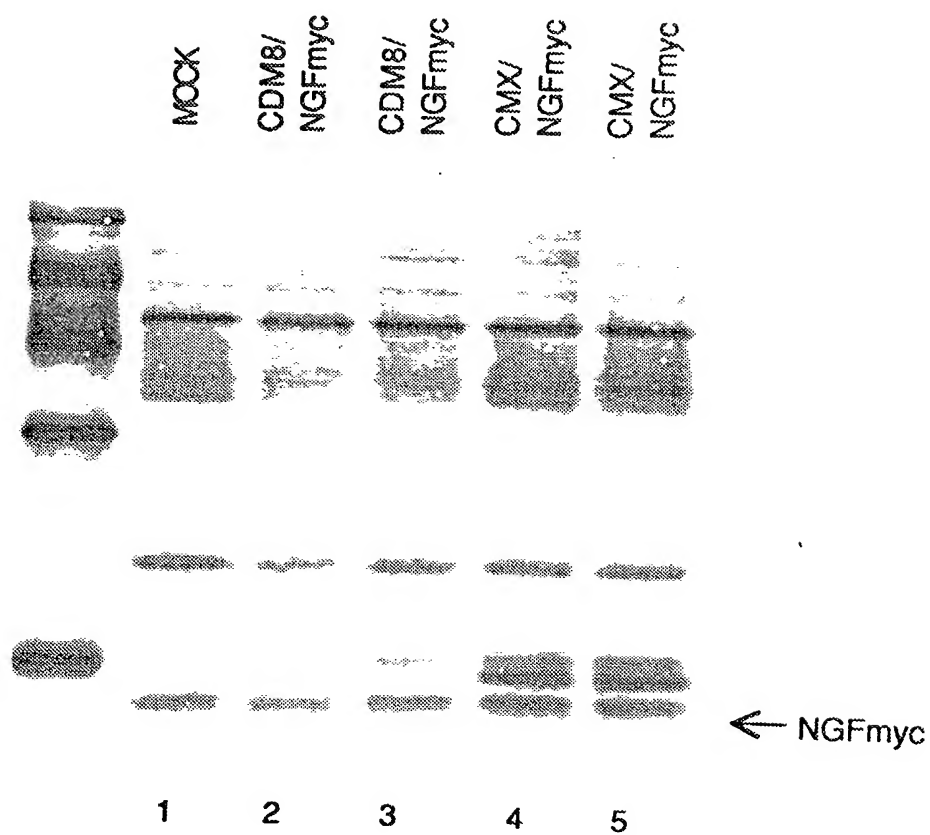


FIG.3

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**FIG. 4**

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# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US92/02492

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>3</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC (5): C12N 15/00, 15/63, 15/67, 15/09 US CL : 435/320.1, 172.3, 69.1		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
U.S.	435/320.1, 172.3, 69.1	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched <sup>5</sup>		
APS, Biosis		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category*	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
Y	Nature, Vol. 329, issued 29 October 1987, Seed, "An LFA-3 cDNA encodes a phospholipid-linked membrane protein homologous to its receptor CD2", pages 840-842. See entire article.	1-30
Y	Gene, Vol. 33, issued 1985, Yanisch-Perron et al., "Improved M13 phage cloning vectors and host strains: nucleotide sequences of the M13mp18 and pUC19 vectors", pages 103-119. See entire article.	1-30
Y	Proceedings of the National Academy of Sciences USA, Vol. 84, issued May 1987, Seed et al., "Molecular cloning of the CD2 antigen, the T-cell erythrocyte receptor, by a rapid immunoselection procedure", pages 3365-3369. See entire article.	17-20
<p>* Special categories of cited documents:<sup>16</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>2</sup>		Date of Mailing of this International Search Report <sup>2</sup>
19 June 1992		30 JUN 1992
International Searching Authority <sup>1</sup>		Signature of Authorized Officer <sup>20</sup>
ISA/US		PHILIP W. CARTER 